FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	D.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Shanmugam Muthusamy						2. Issuer Name <b>and</b> Ticker or Trading Symbol ANI PHARMACEUTICALS INC [ ANIP ]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Snanm</u>	<u>ugam ivi</u>		AMI J									X Director			10% O	wner				
(Loot)	/5	irst) (1	Middle)		2 Date of Fadinat Transaction (March / San Ara-A											icer (give title ow)		Other (	specify	
(Last)	`		3. Date of Earliest Transaction (Month/Day/Year) 11/19/2021							1.	,			,	M OPS					
l	I PHARM	/-	11/10/2021									HEAD OF R&D, COO-NOVITIUM OPS								
210 MA	IN STREE	T WEST		<b>⊢</b>																
					4. If A										6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)	TTL M	INT F	ccaa												,	rm filed by On	e Rep	orting Pers	on	
BAUDE	TTE M	IIN 5	6623												Form filed by More than One Reporting					
-																rson				
(City)	(S	tate) (2	Zip)																	
		Table	I - No	n-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	, or E	Bene	eficia	lly Ov	ned				
1. Title of Security (Instr. 3)  2. Transacti Date (Month/Day						Execution Date,			Transaction		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 5)				d Sec Ben Owr	mount of urities eficially led Following	Forn (D) o	n: Direct	7. Nature of Indirect Beneficial Ownership	
					Code	v	Amount	(A (D	) or )	Price	Trar	Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)					
Common	2021	021			A		13,460	<u> </u>	A	(1)		13,460		D						
														Ì	Held by					
Common Stock 11/19/2					.021				A		1,332,62	0 A		(2)	1	,332,620		I	Esjay	
																			LLC <sup>(3)</sup>	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
											onvertib				•					
1. Title of Derivative Security (Instr. 3)	ivative Conversion Date Execution Date, urity or Exercise (Month/Day/Year) if any				4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expirati (Month/	ion Da		7. Title and Amount of Securities Underlying Derivative Security (In 3 and 4)			8. Price of Derivative Security (Instr. 5)		ly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code		(A)	(D)			Expiration Date	Title	Amo or Num of Sha	nber						

- 1. On November 19, 2021, pursuant to that certain Agreement and Plan of Merger, dated as of March 8, 2021 (as amended, supplemented or modified from time to time, the "Merger Agreement"), by and among ANI Pharmaceuticals, Inc., a Delaware corporation (the "Issuer"), Novitium Pharma LLC, a Delaware limited liability company ("Novitium"), and the other parties thereto, the Issuer completed its acquisition of Novitium. In accordance with the Merger Agreement, an aggregate of 70,250 Company Interests of Novitium held by the Reporting Person were converted into 13,460 shares of Common Stock of the Issuer. The issuance of the shares was approved by the Issuer's board of directors pursuant to Rule 16b-3 under the Securities Exchange Act of 1934, as amended.
- 2. In accordance with the Merger Agreement, an aggregate of 6,954,750 Company Interests of Novitium held by Esjay LLC were converted into 1,332,620 shares of Common Stock of the Issuer. The issuance of the shares was approved by the Issuer's board of directors pursuant to Rule 16b-3 under the Securities Exchange Act of 1934, as amended.
- 3. The Reporting Person holds voting and dispositive power over the shares held by Esjay LLC.

11/23/2021 /s/ Muthusamy Shanmugam

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.